

Appl. No : 10/828,269 Confirmation No.: 5446  
Applicants : Jim Degraaf et al.  
Filed : April 21, 2004  
Title : RISK MANAGEMENT SYSTEM AND METHOD  
PROVIDING RULE-BASED EVOLUTION OF A  
PORTFOLIO OF INSTRUMENTS  
TC./A.U. : 3692  
Examiner : Shahid R. Merchant  
  
Docket No. : 11483-174  
Customer No. : 001059

Honorable Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

February 8, 2008

#### **AMENDMENT**

Sir:

In response to the office action of December 10, 2007, please amend the above-identified application as follows:

**Listing of the Claims** begin on page 2 of this Amendment.

**Remarks/Arguments** begin on page 12 of this paper.